



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Paul Young  
Serial No: 09/954,456 Art Unit: 1631  
Filed: 18 September 2001 Examiner: C. L. Smith  
Title: PROCESS FOR IDENTIFYING ANTI-CANCER THERAPEUTIC  
AGENTS USING CANCER GENE SETS  
Docket No: 669290-76

18 November 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir: Applicant herewith files the following:

1. Information Disclosure Statement;
2. Form 1449 plus copies of cited references; and
3. Return Postcard

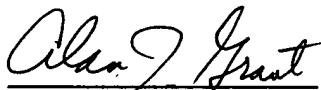
No fee is believed due in filing this paper. The Commissioner is requested to charge any fees due, or credit any refunds, to Deposit Acc't No. 03-0678.

Serial No. 09/954,456  
Filed: 18 September 2001

**FIRST CLASS CERTIFICATE**

I hereby certify that this  
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Alexandria, VA 22313-1450



Alan J. Grant, Esq.

11/18/03  
Date

Respectfully submitted,



Alan J. Grant, Esq.  
Reg. No. 33,389

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56(a), Applicant(s) hereby cite(s) the enclosed documents listed on the attached copy of Form PTO-1449 which are believed to be material to the patentability of the above-identified application.

This Information Disclosure Statement is filed in accordance with the paragraph of 37 CFR §1.97 indicated below:

\_\_\_§1.97(b) This Information Disclosure Statement is filed:

- (1) Within three months of the filing date of a national application; OR
- (2) Within three months of the date of entry of the national stage of an international application; OR
- (3) Before the mailing of a first Office Action on the merits.  
No fee or statement is required.

\_\_\_§1.97(c) This Information Disclosure Statement is filed after the period specified in paragraph (b) above, but before the mailing date of either:

- (1) A Final Action or under 37 CFR §1.113; OR

(2) A Notice of Allowance under 37 CFR §1.311; AND

is accompanied by either: (check one)

☐ The statement as specified in 37 CFR §1.97(e) set out below; OR

☐ The fee of \$ 180.00 under 37 CFR §1.17(p).

☐ §1.97(d) This Information Disclosure Statement is filed after the mailing date of either:

(1) a Final Action or under 37 CFR §1.113; OR

(2) A Notice of Allowance under 37 CFR §1.311;

BUT filed on or before payment of the Issue Fee; AND

is accompanied by:

(1) The statement as specified in 37 CFR §1.97(e) as set forth below; AND

(2) Petition is hereby made under 37 CFR §1.97(d) for consideration of this Information Disclosure Statement; AND,

(3) The petition fee of \$130.00 set out in 37 CFR §1.17(i).

☒ §1.97(e) The undersigned Attorney hereby states that:

☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement;  
or

☒ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned Attorney after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

Serial No: 09/954,456  
Filed: 18 September 2001

The Commissioner is authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 03-0678.

**FIRST CLASS CERTIFICATE**

I hereby certify that this correspondence is being deposited today with the U.S. Postal Service as First Class Mail in an envelope addressed to:

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*Alan J. Grant* 11/18/03  
Alan J. Grant, Esq. Date

Respectfully submitted,

*Alan J. Grant*

Alan J. Grant, Esq.  
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Form PTO-1449

## INFORMATION DISCLOSURE STATEMENT

Atty. Docket:  
689290-76Serial No.  
09/954,456

Applicant: Paul Young

Filing Date: 18 September 2001

Group: 1631

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA	6,100,031	8 August 2000	Shyjan et al			
	BB						
	CC						

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Sub-Class	Translation
	DD	WO 97/33551	September 1997	PCT			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	EE						<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	FF	Da-Xiang et al (J. Fourth Milit. Med. Univ.) Vol. 19 (6):601-605 (1998)
	GG	
	HH	
	II	
	JJ	
	KK	
	LL	
	MM	
	NN	
	OO	

Examiner:

Date Considered:

**EXAMINER:**

Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.